

DOCKET NO. 03AB118/ALBRP331USA

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Applicant:	David M. Callaghan, et al.
0 '137	10/801 040

Serial No.: 10/731,940

Filed: December 10, 2003

For: SYSTEMS AND METHODS THAT UTILIZE SCALABLE VECTOR GRAPHICS TO PROVIDE WEB-

BASED VISUALIZATION OF A DEVICE

Art Unit: 2173
Examiner: Unknown

#### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120(c), or (c) U.S. Patent(s) and published application(s) since the subject application was filed after June 30, 2003:

Serial No.:	
Filing Date:	

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

- 2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):
  - (a) \_\_\_ Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
  - (b) \_\_\_ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".
  - 3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):
    - (a) \_\_\_ Within 3 months of the filing date or date of entry into the National Stage.
    - (b) X Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R.

(c)	After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.
(1)_	_ The required certification is given below, or
(2)	Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement.

- (d) \_\_\_ After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.
  - (1) \_\_\_ Enclosed is a Credit Card Payment Form (PTO-2038) covering the fee set forth in 37 C.F.R. 1.17(i)(1), or

### 4. Certification (if applicable)

- (a) \_\_\_ The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
- (b) \_\_\_ The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.

Respectfully Submitted, AMIN & TUROCY, LLP

By

AMIN & TUROCY, LLP 24<sup>TH</sup> Floor, National City Center 1900 E. 9<sup>th</sup> Street Cleveland, Ohio 44114

Himanshu S. Amin Reg. No. 40,894

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the below date with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450. Alexandria, VA 22313-1450.

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Date

Himanshu S. Amin

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PTO/SB/08a (08-03) Approved for use through 07/31/2006. OMB 0651-0031

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				Application Number	10/731,940			
11	<b>NFORMATION DIS</b>	CLO	SURE	Filing Date	December 10, 2003			
STATEMENT BY APPLICANT				First Named Inventor	David M. Callaghan			
				Art Unit	2173			
(Use as many sheets as necessary)				Examiner Name				
Sheet	1	of	2	Attorney Docket Number	03AB118/ALBRP331USA			

		<u>U. S. PATI</u>	ENT DOCUM	ENTS		
Cite No.1	Number-Kind Code <sup>2 (# known)</sup>	Publication Da MM-DD-YYY			Pages, Columns, Lines, Wher Relevant Passages or Relevan Figures Appear	re nt
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Cite	Foreign Patent Document					
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		Number-Kind Code <sup>2 (ff known)</sup>	Document Number	Document Number	Number-Kind Code <sup>2</sup> (# known)	Document Number   Publication Date   MM-DD-YYYY   Applicant of Cited Document   Pages, Columns, Lines, When Relevant Passages or Relvant Passages or Relevant Passages or Relevant Passages or Relev

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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Substitute for form 1449B/PTO				Complete if Known			
				Application Number	10/731,940		
INFOF	RMATION DI	SCLO	SURE	Filing Date	December 10, 2003		
STATEMENT BY APPLICANT			CANT	First Named Inventor	David M. Callaghan		
				Art Unit	2173		
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Sheet	2	of	2	Attorney Docket Number	03AB118/ALBRP331USA		

NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the art magazine, journal, serial, symposium, catalog, etc.), date, page and/or country where publ	(s), volume-iss		T²		
		CHENGYUAN PENG; Scalable Vector Graphics (SVG); Research Semin	nar on Interactiv	e Digital Media; Fall, 2000.			
	***************************************	GREG J. BADROS, et al.; A Constraint Extension to Scalable Vector Gra Seattle, Washington.	aphics; UW Tech	nical Report # 2000-08-04;			
		NIGEL MCKELVEY, et al.; XML Applications and How They Interact in	n a Multimedia N	Networking Environment.	**********		
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Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English tanguage Translation is attached.

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